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SCMUA

FY2011

**SUSSEX COUNTY MUNICIPAL
UTILITIES AUTHORITY**

Authority Budget

Department Of



**Community
Affairs**

Division Of Local Government Services

FY2011

Sussex County Municipal Utilities Authority

AUTHORITY BUDGET

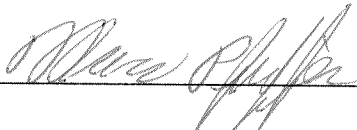
FISCAL YEAR: FROM DECEMBER 1, 2010 TO NOVEMBER 30, 2011

For Division Use Only

CERTIFICATION OF APPROVED BUDGET

It is hereby certified that the approved Budget made a part hereof complies with the requirements of law and the rules and regulations of the Local Finance Board, and approval is given pursuant to N.J.S. 40A:5A-11.

*State of New Jersey
Department of Community Affairs
Director of the Division of Local Government Services*

By:  Date: 11/24/10

CERTIFICATION OF ADOPTED BUDGET

It is hereby certified that the adopted Budget made a part hereof has been compared with the approved Budget previously certified by the Division, and any amendments made thereto. This adopted Budget is certified with respect to such amendments and comparisons only.

*State of New Jersey
Department of Community Affairs
Director of the Division of Local Government Services*

By: _____ Date: _____

FY2011
ADOPTED AUTHORITY BUDGET
RESOLUTION

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY
UPPER WALLKILL FACILITIES

FISCAL YEAR PERIOD DECEMBER 1, 2010 TO NOVEMBER 30, 2011

WHEREAS, the Annual Operating Budget and revenue financed Capital Budget for the Sussex County Municipal Utilities Authority for the fiscal year period beginning December 1, 2010 and ending November 30, 2011 was presented for introduction before the Commissioners of the Sussex County Municipal Utilities Authority at its meeting of October 6, 2010; and

WHEREAS, the annual Upper Wallkill Facilities Operating and Capital Budgets, as presented for adopting reflect each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services; and

WHEREAS, the annual Upper Wallkill Facilities Operating Budget and revenue financed Capital Budget as introduced, reflect the below listed Summary of Revenues and Financing Sources:

SUMMARY OF REVENUES AND OTHER FINANCING FOR
UPPER WALLKILL FACILITIES BUDGET

UPPER WALLKILL FACILITIES

Unreserved Retained Earnings	\$ 0
Operating Revenues	\$5,701,000
Non Operating Revenues	<u>\$ 121,000</u>
Total Revenues	\$5,822,000
Administration	\$ 594,000
Salary & Benefit Expenses	\$1,509,000
Debt Service Expense	\$2,147,000
Renewal & Replacement Fund	\$ 50,000
Appropriation for Capital Budget	\$ 70,000
Operation Expense	<u>\$1,452,000</u>
Total Expenses	\$5,822,000

Certified as a true copy of the Resolution
adopted by the Authority at their Regular
Meeting held on Wednesday, November 24, 2010.



Andrea Cocula, Secretary

Date: November 24, 2010

Member	Recorded Vote		Abstain	Absent
	Aye	Nay		
Sowden	X			
Zidek	X			
Petillo	X			
O'Halloran	X			
Dietz	X			
Cocula		X		
Meyer	X			

FY2011 PREPARER'S CERTIFICATION

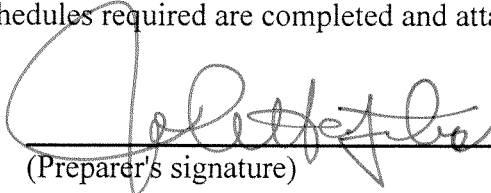
Sussex County Municipal Utilities Authority

AUTHORITY BUDGET

FISCAL YEAR: FROM DECEMBER 1, 2010 TO NOVEMBER 30, 2011

It is hereby certified that the Authority Budget, including both the Annual Budget and the Capital Budget/Program annexed hereto, represents the members of the governing body's resolve with respect to statute in that; all estimates of revenue are reasonable, accurate and correctly stated, all items of appropriation are properly set forth and in itemization, form and content, the budget will permit the exercise of the comptroller function within the Authority.

It is further certified that all proposed budgeted amounts and totals are correct. Also, I hereby provide reasonable assurance that all assertions contained herein are accurate and all Supplemental Schedules required are completed and attached.



(Preparer's signature)

John Hatzelis
(Print Name)

SCMUA Administrator
(Title)

34 South Route 94
(Address)

Lafayette, N.J. 07848
(City, State, Zip Code)

973-579-6998/ **973-579-7819**
(Phone number) (Fax number)

FY2011 APPROVAL CERTIFICATION

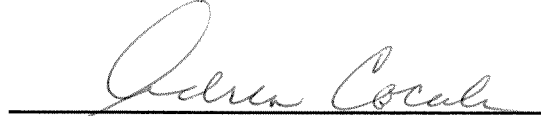
Sussex County Municipal Utilities Authority

AUTHORITY BUDGET

FISCAL YEAR: FROM DECEMBER 1, 2010 TO NOVEMBER 30, 2011

It is hereby certified that the Authority Budget, including Supplemental Schedules appended hereto, are a true copy of the Annual Budget and Capital Budget/Program approved by resolution by the governing body of the Sussex County MUA, at an open public meeting held pursuant to N.J.A.C. 5:31-2.3, on the 6th day of October, 2010.

It is further certified that the recorded vote appearing in the resolution represents a not less than a majority of the full membership of the governing body thereof.



(Secretary's signature)

Andrea Cocula

(Print Name)

Secretary

(Title)

34 South Route 94

(Address)

Lafayette, N.J. 07848

(City, State, Zip Code)

973-579-6998/ 973-579-7819
(Phone number) (Fax number)

FY2011

AUTHORITY BUDGET
RESOLUTION

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

UPPER WALLKILL FACILITIES

FISCAL YEAR PERIOD DECEMBER 1, 2010 TO NOVEMBER 30, 2011

WHEREAS, the Annual Budget and revenue financed Capital Budget for the Sussex County Municipal Utilities Authority for the fiscal year period beginning December 1, 2010 and ending November 30, 2011 has been presented before the Commissioners of the Sussex County Municipal Utilities Authority at its meeting of October 6, 2010; and

WHEREAS, the Annual Budget and revenue financed Capital Budget, as introduced, reflects the below listed Summary of Revenues, and Financing Sources for the Sussex County Municipal Utilities Authority's Operating Budgets:

**SUMMARY OF REVENUES AND OTHER FINANCING FOR
UPPER WALLKILL FACILITIES BUDGET**

UPPER WALLKILL FACILITIES

Unreserved Retained Earnings	\$	0
Operating Revenues	\$	5,701,000
Non Operating Revenues	\$	<u>121,000</u>
Total Revenues		\$5,822,000
Administration	\$	594,000
Salary & Benefit Expenses	\$	1,509,000
Debt Service Expense	\$	2,147,000
Renewal & Replacement Fund	\$	50,000
Appropriation for Capital Budget	\$	70,000
Operation Expense	\$	<u>1,452,000</u>
Total Expenses		\$5,822,000

WHEREAS, in preparing the Annual Budget and revenue financed Capital Budget, the Service Rates and Fees as established by Resolution of the Authority have been reviewed to insure the revenues to be received are of sufficient amount to meet anticipated expenditures and all covenants as stipulated in the Authority's outstanding debt obligations, capital lease arrangements, service agreements and other pledged agreements; and

WHEREAS, the Capital Budget/Program, pursuant to N.J.A.C. 5:31-2, does not confer any authorization to raise or expend funds; rather it is a document to be used as part of the said Authority's planning and management objectives. Specific authorization to expend funds for the purposes described in this section of the budget, must be granted elsewhere; by bond resolution, by a project financing agreement, by resolution appropriating funds from the Renewal and Replacement Reserve or other means provided by law; and


WHEREAS, the Upper Wallkill FY2011 Capital Budget as introduced reflects a Total Debt Authorized of \$-0-; Renewal & Replacement Expenditure of \$85,000; Capital Outlays of \$70,000, and Phase 2 Construction Fund of \$30,000; Phase 3 Construction Fund of \$423,500 for total appropriation of \$608,500; and

NOW, THEREFORE, BE IT RESOLVED by the members of the Sussex County Municipal Utilities Authority, at a meeting held on October 6, 2010, that the Annual Budget and revenue financed Capital Budget of the Sussex County Municipal Utilities Authority for the fiscal year period beginning December 1, 2010 and ending November 30, 2011 is hereby approved; and

BE IT FURTHER RESOLVED that the revenues as reflected in the Annual Budget and revenue financed Capital Budget are of sufficient amount to meet anticipated appropriations and all covenants as stipulated in the Authority's outstanding debt obligations, capital lease arrangements, service agreements and other pledged agreements; and

BE IT FURTHER RESOLVED, that the members of the Sussex County Municipal Utilities Authority will consider the Annual Budget and revenue financed Capital Budget for adoption on November 24, 2010.

Certified as a true copy of the Resolution adopted by the Authority at their Regular Meeting held on Wednesday, October 6, 2010.


Andrea Cocula, Secretary

Date: October 6, 2010

Member	Recorded Vote			
	Aye	Nay	Abstain	Absent
J. Zidek	X			
J. Sowden	X			
R. Petillo	X			
W. Dietz	X			
A. Cocula		X		
K. Meyer	X			

FY2011 AUTHORITY BUDGET

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY FISCAL YEAR PERIOD DECEMBER 1, 2010 TO NOVEMBER 30, 2011

BUDGET MESSAGE

UPPER WALLKILL FACILITIES

The Upper Wallkill Facilities completed 26 years of successful operation in 2010. The Facilities consist of the Upper Wallkill Sewage Treatment Plant and Sewage Transmission System which serves the Boroughs of Sussex, Hamburg and Franklin, and portions of Sparta, Wantage, Hardyston and Vernon Townships. The plant capacity is 3.265 MGD with the recently completed construction of the Vernon Town Center/Mountain Creek Development Project. The Upper Wallkill Facility also provides a Sussex County-wide facility to treat other types of liquid waste, including septage, sludge and landfill leachate. In FY2011, the Upper Wallkill Facility is expected to treat:

1,050,000	gallons of sludge waste
4,600,000	gallons of septage waste
1,925,000	gallons of hauled sewage
12,775,000	gallons of landfill leachate*

*based on reserved capacity figures

Since 1984, the Upper Wallkill Facilities has treated over 10.5 billion gallons of sewage, 81 million gallons of sludge and 360 million gallons of septage.

The Upper Wallkill Facilities sludge septage & hauled sewage volumes have held steady in FY2010.

The FY2011 Upper Wallkill Facilities' Budget represents an increase of \$4,800 from the FY2010 Budget, from \$5,378,000 in 2010 to \$5,822,000 in FY2011. Debt service represents 37% of the Operating Budget. Debt service & operating expenses have increased in 2011 to cover the costs associated with the recent plant Expansion/Upgrade project.

The financial impact on the users of the Upper Wallkill Facilities will be as follows:

- o Sludge Disposal rates will remain unchanged.
- o Hauled Sewage Treatment charges will increase to \$9.75 per 1,000 gallons.

- o Septage Treatment rates will be on a sliding scale system as follows:
 - \$64 per 1,000 gallons delivered (up to 500,000 gals.)
 - \$60 per 1,000 gallons delivered (from 500,001 to 750,000 gals.)
 - \$56 per 1,000 gallons delivered (over 750,000 gals.)
- o Leachate Treatment rates for the SCMUA for the SCMUA Landfill will remain at \$16.00 per 1,000 gallons.
- o Sewage Treatment charges will average about \$6.67 per 1,000 gallons; however, actual charges will be based on individual service agreements.

Connection fee revenue is expected to continue to decrease due to the current economic climate. Below is a summary of recent years budgeted projections and actual connection fees realized:

	Projected	Actual
FY05	\$581,000	\$1,014,000
06	614,000	1,136,820
07	697,000	885,820
08	765,000	581,947
09	582,000	333,554
10	239,000	192,242 (as of 9/2010)
11	202,000	

No appropriation for Unreserved Retained Earnings is to be utilized to balance the FY2011 Upper Wallkill Facilities Budget, the unresolved retain earning has been depleted to a point wherein no funds are available for rate stabilization. The increase in the Upper Wallkill Facilities FY2011 operating and debt service expenses are directly related to the recent Upper Wallkill Facilities expansion upgrade project. Additional revenues derived from Vernon Township will largely offset these additional expenses.

FY2011

AUTHORITY BUDGET

UPPER WALLKILL
FACILITIES

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

FISCAL YEAR: FROM DECEMBER 1, 2010 TO NOVEMBER 30, 2011

---ANTICIPATED REVENUES---

OPERATING REVENUES -----	CROSS REF. -----	2011 PROPOSED BUDGET -----	2010 CURRENT YEAR'S ADOPTED BUDGET -----
SERVICE CHARGES	* A-1 *	\$4,812,000 *	\$4,255,000 *
CONNECTION FEES	* A-2 *	\$202,000 *	\$239,000 *
PARKING FEES	* A-3 *	-0- *	-0- *
OTHER OPERATING REVENUES	* A-4 *	\$687,000 *	\$735,000 *
TOTAL OPERATING REVENUES	* R-1 *	\$5,701,000 *	\$5,229,000 *
NON-OPERATING REVENUES -----	CROSS REF. -----	2011 PROPOSED BUDGET -----	2010 CURRENT YEAR'S ADOPTED BUDGET -----
OPERATING GRANTS & ENTITLEMENTS	* A-5 *	-0- *	-0- *
LOCAL SUBSIDIES & DONATIONS	* A-6 *	-0- *	-0- *
INTEREST ON INVESTMENTS AND DEPOSIT	* A-7 *	\$94,000 *	\$118,000 *
OTHER NON-OPERATING REVENUES	* A-8 *	\$27,000 *	\$31,000 *
TOTAL NON-OPERATING REVENUES	* R-2 *	\$121,000 *	\$149,000 *
TOTAL ANTICIPATED REVENUES (R-1 + R-2)	* B-1 *	\$5,822,000 *	\$5,378,000 *

FY2011

AUTHORITY BUDGET

UPPER WALLKILL
FACILITIES

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

FISCAL YEAR: FROM DECEMBER 1, 2010 TO NOVEMBER 30, 2011

---BUDGETED APPROPRIATIONS---

--OPERATING APPROPRIATIONS--

ADMINISTRATION -----	CROSS REF. -----	2011 PROPOSED BUDGET -----	2010 CURRENT YEAR'S ADOPTED BUDGET -----
SALARY & WAGES	* * *	-0- *	-0- *
FRINGE BENEFITS	* * *	-0- *	-0- *
OTHER EXPENSES	* * *	\$594,000 *	\$480,000 *
TOTAL ADMINISTRATION	* E-1 *	\$594,000 *	\$480,000 *
COST OF PROVIDING SERVICES -----	CROSS REF. -----	2011 PROPOSED BUDGET -----	2010 CURRENT YEAR'S ADOPTED BUDGET -----
SALARY & WAGES	* * *	\$980,000 *	\$946,000 *
FRINGE BENEFITS	* * *	\$529,000 *	\$524,500 *
OTHER EXPENSES	* * *	\$1,522,000 *	\$1,445,500 *
TOTAL COST OF PROVIDING SERVICES	* E-2 *	\$3,031,000 *	\$2,916,000 *
TOTAL PRINCIPAL PAYMENTS ON DEBT SERVICE IN LIEU OF DEPRECIATION	* D-1 *	\$1,615,000 *	\$1,499,000 *
TOTAL OPERATING APPROPRIATIONS (E-1 + E-2 + D-1)	* B-2 *	\$5,240,000 *	\$4,895,000 *

FY2011

AUTHORITY BUDGET

UPPER WALLKILL
FACILITIES

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

FISCAL YEAR: FROM DECEMBER 1, 2010 TO NOVEMBER 30, 2011

---BUDGETED APPROPRIATIONS---

--NON-OPERATING APPROPRIATIONS--

			2011 PROPOSED BUDGET	2010 CURRENT YEAR'S ADOPTED BUDGET
	CROSS REF.		-----	-----
TOTAL INTEREST PAYMENTS ON DEBT	* D-2 *		\$532,000 *	\$483,000 *
OPERATIONS & MAINTENANCE RESERVE	* * *		-0- *	-0- *
RENEWAL & REPLACEMENT RESERVE(S)	* C-1 *		\$50,000 *	-0- *
OTHER RESERVES	* C-2 *		-0- *	-0- *
TOTAL NON-OPERATING APPROPRIATIONS	* B-3 *		\$582,000 *	\$483,000 *
ACCUMULATED DEFICIT	* B-4 *		-0- *	-0- *
TOTAL OPERATING & NON-OPERATING APPROPRIATIONS & ACCUMULATED DEFICIT (B-2 + B-3 + B-4)	* B-5 *		\$5,822,000 *	\$5,378,000 *
LESS : UNRESERVED RETAINED EARNINGS UTILIZED	* R-3 *		-0- *	-0- *
NET TOTAL APPROPRIATIONS (B-5 - R-3)	* B-6 *		\$5,822,000 *	\$5,378,000 *

FY2011
Sussex County
Municipal Utilities
Authority

AUTHORITY
CAPITAL
BUDGET/
PROGRAM

**FY2011 CERTIFICATION of AUTHORITY
CAPITAL BUDGET/PROGRAM**

Sussex County Municipal Utilities Authority

FISCAL YEAR: FROM December 1, 2010 **TO** November 30, 2011


[X]

It is hereby certified that the Authority Capital Budget/Program annexed hereto is a true copy of the Capital Budget/Program approved, pursuant to N.J.A.C. 5:31-2.2, along with the Annual Budget, by the governing body of the Sussex County MUA, on the 6th day of October, 2010.

OR

[]

It is further certified that the governing body of the Sussex County Municipal Utilities Authority have elected **NOT** to adopt a Capital Budget /Program for the aforesaid fiscal year, pursuant to N.J.A.C. 5:31-2.2 for the following reason(s): See attached.



(Secretary's signature)

Andrea Cocula

(Print Name)

Secretary

(Title)

34 South Route 94

(Address)

Lafayette, N.J. 07848

(City, State, Zip Code)

973-579-6998/ 973-579-7819
(Phone number) (Fax number)

FY2011
CAPITAL BUDGET

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY
UPPER WALLKILL WATER POLLUTION CONTROL FACILITIES
FISCAL PERIOD DECEMBER 1, 2010 TO NOVEMBER 30, 2011

CAPITAL BUDGET MESSAGE

The Sussex County Municipal Utilities Authority Five Year Capital Plan and Capital Budget for the Upper Wallkill Water Pollution Control Facilities for FY2011 consists of the following projects:

- A. Phase 2 Upper Wallkill Improvements
 - Secondary Clarifier Walkway
- B. Capital Outlay Items
 - Pump and Parts
 - Rehabilitate Compost Building
 - Underground Storage Tank Decommission
 - Replacement Pickup Truck
- C. Renewal and Replacement Fund
 - Rehabilitate Secondary Clarifier #2
 - Repairs to Hamburg Interceptor
 - Electrical Upgrade Sparta Pump Station #1 & 2
- D. Upper Wallkill Phase III Expansion/ Upgrade
 - Completion of Construction of sewage treatment facilities to accommodate Vernon Town Center and Mountain Creek Development, Vernon Township
- E. Upgrade for Phosphorus
 - Upgrade may be necessary due to NJPDES Permit Requirements (Future Years)

The Capital Plan and Capital Budget have been prepared by SCMUA staff and SCMUA's Consulting Engineer and have been reviewed and approved by the SCMUA's Board of Commissioners.

Table 1
SCMUA UPPER WALKKILL SYSTEM
FY2011 CAPITAL BUDGET AND FIVE YEAR CAPITAL PLAN
(FY2011 - FY2015)

	ESTIMATED TOTAL COSTS	FY2011	FY2012	FY2013	FY2014	FY2015
A. Phase 2 Upper Walkkill Improvements (1):						
o Secondary Clarifier #1 Walkway	\$25,000	\$0	\$0	\$0	\$0	\$0
o Engineering	\$5,000	\$0	\$0	\$0	\$0	\$0
Subtotal A =	\$30,000	\$0	\$0	\$0	\$0	\$0
B. Capital Outlay (2):						
o UST Decommission	\$32,000	\$0	\$0	\$0	\$0	\$0
o Pumps & Parts	\$70,000	\$15,000	\$15,000	\$15,000	\$15,000	\$15,000
o Rehab. Compost Bldgs.	\$65,000	\$13,000	\$13,000	\$13,000	\$13,000	\$13,000
o Repair Compost Stor. Bldg.	\$24,000	\$0	\$24,000	\$0	\$0	\$0
o Paint Odor Scrub. Ducts/Steel	\$15,000	\$0	\$15,000	\$0	\$0	\$0
o Feasibility Study of Microstrainer Replacement Opitons	\$15,000	\$0	\$15,000 *	\$0	\$0	\$0
o Feasibility Study for Nitrate/ Phosphorus Upgrade	\$20,000	\$0	\$20,000 *	\$0	\$0	\$0
o Replacement Pickup Truck	\$15,000	\$15,000	\$0	\$0	\$0	\$0
Subtotal B =	\$256,000	\$70,000	\$102,000	\$28,000	\$28,000	\$28,000
C. Renewal & Replacement (3):						
o Secondary Clarifier # 2 Rehabil.	\$25,000	\$0	\$0	\$0	\$0	\$0
o Hamb. Inter. Repair	\$50,000	\$0	\$0	\$0	\$0	\$0
o Sparta P.S. #1 & 2 Splice Box Reloc.	\$10,000	\$0	\$0	\$0	\$0	\$0
o Repl. Comp. Trommel Screen	\$150,000	\$0	\$150,000	\$0	\$0	\$0
o Rehabilitation of Microstrainers	\$70,000	\$0	\$0	\$70,000	\$0	\$0
o Engineering	\$20,000	\$0	\$10,000	\$10,000	\$0	\$0
Subtotal C =	\$325,000	\$85,000	\$160,000	\$80,000	\$0	\$0

* Note: Pending Permit Renewal/ Appeal

TABLE 1 (Cont.)
SCMUA UPPER WALLKILL SYSTEM
FY2011 CAPITAL BUDGET AND FIVE YEAR CAPITAL PLAN
(FY2011 - FY2015)

	ESTIMATED TOTAL COSTS	FY2011	FY2012	FY2013	FY2014	FY2015
D. Upper Wallkill Phase III Exp/Upgrade (4):						
o SCMUA Indirect A, L, F	\$4,500	\$4,500	\$0	\$0	\$0	\$0
o SCMUA Direct A, L, F (5)	\$95,000	\$75,000	\$20,000	\$0	\$0	\$0
o Proj. Management (6)	\$40,000	\$30,000	\$10,000	\$0	\$0	\$0
o Const. Phase Engineering	\$0	\$0	\$0	\$0	\$0	\$0
o Misc. Project Costs (7)	\$90,000	\$90,000	\$0	\$0	\$0	\$0
o Construction Costs (8)	\$224,000	\$224,000	\$0	\$0	\$0	\$0
o HTMUA Pump Station Upgrade	\$35,000	\$0	\$0	\$0	\$0	\$35,000
	Subtotal D =	\$423,500	\$30,000	\$0	\$0	\$35,000
E. Upper Wallkill Upgrade for Phosphorous (8)						
o Admin., L & F	\$225,000	\$0	\$0	\$75,000	\$75,000	\$75,000
o Construction	\$8,000,000	\$0	\$0	\$2,000,000	\$4,000,000	\$2,000,000
o Engineering (Des/RE&I)	\$1,400,000	\$0	\$600,000	\$200,000	\$400,000	\$200,000
	Subtotal E =	\$9,625,000	\$600,000	\$2,275,000	\$4,475,000	\$2,275,000
	Total Capital Projects (A, B, C, D, E) =	\$10,724,500	\$892,000	\$2,383,000	\$4,503,000	\$2,338,000

Notes:

- (1) Construction Fund II.
- (2) Operating Revenue.
- (3) R&R Fund
- (4) Phase III Construction Fund.
- (5) Litigation, settlement, etc.
- (6) Litigation Support; etc.
- (7) County reimbursement, high flow pumps, etc.
- (8) NJEIT Loans or Phase IV Bonds; does not include costs for Nitrate upgrade.
- (9) Payments to DMR re: Settlement & Contract Balance (Based on Payment after November 30, 2010).

Table 2
FY2011

SCMUA UPPER WALLKILL SYSTEM
FIVE YEAR CAPITAL PLAN
FUNDING SOURCES

FISCAL YEAR PERIOD DEC. 1, 2010 TO NOV. 30, 2015

PROJECTS:	ESTIMATED TOTAL COST	UNRESERVED RETAINED EARNINGS	R & R RESERVE	DEBT AUTHORIZATION	OTHER SOURCES		
					CAPITAL OUTLAY (3)	PHASE 2 CONSTR. FUND (1)	PHASE 3 CONSTR. FUND
A. Phase 2 Upper Wallkill Improvements	\$30,000	\$0	\$0	\$0	\$0	\$30,000	\$0
B. Capital Outlay Projects	\$256,000	\$0	\$0	\$0	\$256,000	\$0	\$0
C. Renewal & Replacement Items	\$325,000	\$0	\$325,000	\$0	\$0	\$0	\$0
D. Upper Wallkill Exp./Upgr. (Ph. III) (2)	\$488,500	\$0	\$0	\$0	\$0	\$0	\$488,500
E. Upper Wallkill Upgrade for Phos. (4)	\$9,625,000	\$0	\$0	\$9,625,000	\$0	\$0	\$0
TOTAL (A, B, C, D, E):	\$10,724,500	\$0	\$325,000	\$9,625,000	\$256,000	\$30,000	\$488,500

- Notes:
- (1) Construction Fund II.
 - (2) Rev. Bonds (Phase III Construction Fund)
 - (3) Revenues
 - (4) NJEIT or Bonds

Table 3
SCMUA UPPER WALLKILL SYSTEM
FY2011 CAPITAL BUDGET
FUNDING SOURCES

PROJECTS:	ESTIMATED TOTAL COST	UNRESERVED RETAINED EARNINGS	R & R RESERVE	DEBT (2) AUTHORIZATION	OTHER SOURCES			
					CAPITAL OUTLAY (3)	PHASE 2 CONSTR. FUND (1)	PHASE 3 CONSTR. FUND (4)	
A. Phase 2 Upper Wallkill Improvements	\$30,000	\$0	\$0	\$0	\$0	\$30,000	\$0	\$0
B. Capital Outlay Projects	\$70,000	\$0	\$0	\$0	\$70,000	\$0	\$0	\$0
C. Renewal & Replacement Items	\$85,000	\$0	\$85,000	\$0	\$0	\$0	\$0	\$0
D. Upper Wallkill Exp./Upgr. (Ph. III)	\$423,500	\$0	\$0	\$0	\$0	\$0	\$423,500	\$0
E. Upper Wallkill Upgrade for Phos.	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL (A, B, C, D, E):	\$608,500	\$0	\$85,000	\$0	\$70,000	\$30,000	\$423,500	\$0

- Notes:
- (1) Construction Fund II.
 - (2) NJEIT or Bonds
 - (3) Revenues
 - (4) Phase III Bonds & Capital Contributions

FY2011

Sussex County Municipal Utilities Authority

SUPPLEMENTAL SCHEDULES

STATE OF NEW JERSEY

DIVISION OF LOCAL GOVERNMENT SERVICES

FY2011

UPPER WALLKILL
FACILITIES

AUTHORITY BUDGET
SUPPLEMENTAL SCHEDULES

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

FISCAL YEAR: FROM DECEMBER 1, 2010 TO NOVEMBER 30, 2011

==== OPERATING REVENUES ====

----SERVICE CHARGES----	CROSS REF.	# UNITS	2011 PROPOSED ANNUAL COLLECTION	# UNITS	2010 CURRENT YEAR'S ADOPTED BUDGET
RESIDENTIAL	*	*	\$4,812,000	*	\$4,255,000
BUSINESS/COMMERCIAL	*	*	-0-	*	-0-
INDUSTRIAL	*	*	-0-	*	-0-
INTERGOVERNMENTAL	*	*	-0-	*	-0-
OTHER	*	*	-0-	*	-0-
TOTAL SERVICE CHARGES	* A-1	*	\$4,812,000	*	\$4,255,000

----CONNECTION FEES----	CROSS REF.	# UNITS	2011 PROPOSED ANNUAL COLLECTION	# UNITS	2010 CURRENT YEAR'S ADOPTED BUDGET
RESIDENTIAL	*	*	\$202,000	*	\$239,000
BUSINESS/COMMERCIAL	*	*	-0-	*	-0-
INDUSTRIAL	*	*	-0-	*	-0-
INTERGOVERNMENTAL	*	*	-0-	*	-0-
OTHER	*	*	-0-	*	-0-
TOTAL CONNECTION FEES	* A-2	*	\$202,000	*	\$239,000

* INCLUDE ALL APPLICABLE RATE SCHEDULES TO SUPPORT SUCH FEES

FY2011

UPPER WALLKILL
FACILITIES

AUTHORITY BUDGET
SUPPLEMENTAL SCHEDULES

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

FISCAL YEAR: FROM DECEMBER 1, 2010 TO NOVEMBER 30, 2011

==== OPERATING REVENUES ====

----PARKING FEES----	CROSS REF.	# UNITS	2011 PROPOSED ANNUAL COLLECTION	# UNITS	2010 CURRENT YEAR'S ADOPTED BUDGET
METERS	*	*	-0-	*	-0-
PERMITS	*	*	-0-	*	-0-
FINES/PENALTIES	*	*	-0-	*	-0-
OTHER	*	*	-0-	*	-0-
TOTAL PARKING FEES	*	A-3	-0-	*	-0-

---OTHER OPERATING REVENUES---	CROSS REF.	# UNITS	2011 PROPOSED ANNUAL COLLECTION	# UNITS	2010 CURRENT YEAR'S ADOPTED BUDGET
LIST IN DETAIL:					
SLUDGE TREATMENT	*	*	\$72,000	*	\$76,000
SEPTAGE TREATMENT	*	*	\$288,000	*	\$306,000
HAULED SEWAGE	*	*	\$18,000	*	\$17,000
LEACHATE	*	*	\$215,000	*	\$220,000
O&M SERVICES	*	*	\$94,000	*	\$116,000
TOTAL OTHER REVENUES	*	A-4	\$687,000	*	\$735,000

* INCLUDE ALL APPLICABLE RATE SCHEDULES TO SUPPORT SUCH FEES

FY2011

UPPER WALLKILL
FACILITIES

AUTHORITY BUDGET
SUPPLEMENTAL SCHEDULES

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

FISCAL YEAR: FROM DECEMBER 1, 2010 TO NOVEMBER 30, 2011

==== NON-OPERATING REVENUES ====

---GRANTS &--- ---ENTITLEMENTS---	CROSS REF.	2011 PROPOSED BUDGET	2010 CURRENT YEAR'S ADOPTED BUDGET
	-----	-----	-----
LIST IN DETAIL:			
	* * * *		
	* * * *		
	* * * *		
	* * * *		
TOTAL GRANTS & ENT.	* A-5 *	----- -0- * =====	----- -0- * =====

---LOCAL SUBSIDIES--- ---& DONATIONS---	CROSS REF.	2011 PROPOSED BUDGET	2010 CURRENT YEAR'S ADOPTED BUDGET
	-----	-----	-----
LIST IN DETAIL:			
	* * * *		
	* * * *		
	* * * *		
	* * * *		
TOTAL SUB. & DONATIONS	* A-6 *	----- -0- * =====	----- -0- * =====

FY2011

UPPER WALLKILL
FACILITIES

AUTHORITY BUDGET
SUPPLEMENTAL SCHEDULES

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

FISCAL YEAR: FROM DECEMBER 1, 2010 TO NOVEMBER 30, 2011

==== NON-OPERATING REVENUES ====

---INTEREST ON INVESTMENTS---
---AND DEPOSITS---

	CROSS REF.	2011 PROPOSED BUDGET	2010 CURRENT YEAR'S ADOPTED BUDGET
INVESTMENTS	* *	\$94,000 *	\$118,000 *
SECURITY DEPOSITS	* *	-0- *	-0- *
PENALTIES	* *	-0- *	-0- *
OTHER INVESTMENTS	* *	-0- *	-0- *
TOTAL INTEREST ON INVESTMENTS & DEPOSITS	* A-7 *	\$94,000 *	\$118,000 *

---OTHER NON-OPERATING REVENUES---

	CROSS REF.	2011 PROPOSED BUDGET	2010 CURRENT YEAR'S ADOPTED BUDGET
LIST IN DETAIL:			
RENTS, REVIEW FEES & FINANCE CHARGES	* *	\$27,000 *	\$31,000 *
	* *		*
	* *		*
	* *		*
	* *		*
TOTAL OTHER REVENUES	* A-8 *	\$27,000 *	\$31,000 *

FY2011
AUTHORITY BUDGET
SUPPLEMENTAL SCHEDULES

UPPER WALLKILL
 FACILITIES

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

FISCAL YEAR: FROM DECEMBER 1, 2010 TO NOVEMBER 30, 2011

==== NON-OPERATING APPROPRIATIONS ====

---RENEWAL &--- ---REPLACEMENT RESERVE(S)---	CROSS REF.	2011 PROPOSED BUDGET	2010 CURRENT YEAR'S ADOPTED BUDGET
LIST IN DETAIL:			
RENEWAL & REPLACEMENT	* *	\$50,000 *	-0- *
	* *		*
	* *		*
	* *		*
TOTAL RENEWAL & REPLACEMENT RESERVE(S)	* C-1 *	\$50,000 *	-0- *
		=====	=====
---OTHER RESERVES---			
LIST IN DETAIL:	CROSS REF.	2011 PROPOSED BUDGET	2010 CURRENT YEAR'S ADOPTED BUDGET
	* *		*
	* *		*
	* *		*
	* *		*
TOTAL OTHER RESERVES	* C-2 *	-0- *	-0- *
		=====	=====

FY2011

UPPER WALLKILL
FACILITIES

**AUTHORITY BUDGET
SUPPLEMENTAL SCHEDULES**

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

FISCAL YEAR: FROM DECEMBER 1, 2010 TO NOVEMBER 30, 2011

==== BUDGETED DEBT SERVICE REQUIREMENTS ====

---PRINCIPAL PAYMENTS---

	CROSS REF.	2011 PROPOSED BUDGET	2010 CURRENT YEAR'S ADOPTED BUDGET
AUTHORITY NOTES	* P-1 *	-0- *	-0- *
AUTHORITY BONDS	* P-2 *	\$1,615,000 *	\$1,499,000 *
CAPITAL LEASES	* P-3 *	-0- *	-0- *
INTERGOVERN. LOANS	* P-4 *	-0- *	-0- *
OTHER OBLIGATIONS	* P-5 *	-0- *	-0- *
TOTAL PRINCIPAL PAYMENTS	* D-1 *	<u>\$1,615,000 *</u> =====	<u>\$1,499,000 *</u> =====

---INTEREST PAYMENTS---

	CROSS REF.	2011 PROPOSED BUDGET	2010 CURRENT YEAR'S ADOPTED BUDGET
AUTHORITY NOTES	* I-1 *	-0- *	-0- *
AUTHORITY BONDS	* I-2 *	\$532,000 *	\$483,000 *
CAPITAL LEASES	* I-3 *	-0- *	-0- *
INTERGOVERN. LOANS	* I-4 *	-0- *	-0- *
OTHER OBLIGATIONS	* I-5 *	-0- *	-0- *
TOTAL INTEREST PAYMENTS	* D-2 *	<u>\$532,000 *</u> =====	<u>\$483,000 *</u> =====

FY2011

UPPER WALLKILL

AUTHORITY BUDGET

FACILITIES

SUPPLEMENTAL SCHEDULES

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

FISCAL YEAR: FROM DECEMBER 1, 2010 TO NOVEMBER 30, 2011

5 YEAR DEBT SERVICE SCHEDULE

PRINCIPAL PAYMENTS	YEARS				
	2011	2012	2013	2014	2015
--AUTHORITY NOTES--					
*	*	*	*	*	*
*	*	*	*	*	*
*	*	*	*	*	*
*	*	*	*	*	*
TOTAL PAYMENTS P-1	*	*	*	*	*
--AUTHORITY BONDS--					
NJEIT LOAN	\$ 219,000	\$ 227,000	\$ -	\$ -	\$ -
NJEIT FUND	\$ 124,000	\$ -	\$ -	\$ -	\$ -
SERIES F	\$ 70,000	\$ 75,000	\$ 75,000	\$ 80,000	\$ 85,000
SERIES G	\$ 990,000	\$ 1,030,000	\$ 1,155,000	\$ 1,205,000	\$ 175,000
SERIES 2008 A	\$ 75,000	\$ 75,000	\$ 80,000	\$ 85,000	\$ 85,000
SERIES 2008 B	\$ 137,000	\$ 175,000	\$ 210,000	\$ 280,000	\$ 982,000
TOTAL PAYMENTS P-2	\$ 1,615,000	\$ 1,582,000	\$ 1,520,000	\$ 1,650,000	\$ 1,327,000
--AUTHORITY CAPITAL LEASES--					
*	*	*	*	*	*
TOTAL PAYMENTS P-3	*	*	*	*	*
--AUTHORITY INTERGOVERNMENTAL LOANS--					
*	*	*	*	*	*
*	*	*	*	*	*
*	*	*	*	*	*
TOTAL PAYMENTS P-4	*	*	*	*	*
--AUTHORITY OBLIGATIONS (LIST)--					
*	*	*	*	*	*
*	*	*	*	*	*
*	*	*	*	*	*
TOTAL PAYMENTS P-5	*	*	*	*	*
TOTAL PRINCIPAL DEBT PAYMENTS SS-6	\$ 1,615,000	\$ 1,582,000	\$ 1,520,000	\$ 1,650,000	\$ 1,327,000

FY2011

UPPER WALLKILL

AUTHORITY BUDGET

FACILITIES

SUPPLEMENTAL SCHEDULES

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

FISCAL YEAR: FROM DECEMBER 1, 2010 TO NOVEMBER 30, 2011

5 YEAR DEBT SERVICE SCHEDULE

INTEREST PAYMENTS	YEARS				
	2011	2012	2013	2014	2015
--AUTHORITY NOTES--					
*	*	*	*	*	*
*	*	*	*	*	*
*	*	*	*	*	*
*	*	*	*	*	*
TOTAL PAYMENTS I-1	*	*	*	*	*
--AUTHORITY BONDS--					
NJEIT	* \$22,000	* \$12,000	* -0-	* -0-	* -0-
SERIES F	* \$123,000	* \$120,000	* \$116,000	* \$113,000	* \$109,000
SERIES G	* \$192,000	* \$160,000	* \$126,000	* \$81,000	* \$28,000
SERIES 2008 A	* \$183,000	* \$180,000	* \$177,000	* \$174,000	* \$172,000
SERIES 2008 B	* \$13,000	* \$25,000	* \$40,000	* \$70,000	* \$308,000
TOTAL PAYMENTS I-2	* \$533,000	* \$497,000	* \$459,000	* \$438,000	* \$617,000
--AUTHORITY CAPITAL LEASES--					
*	*	*	*	*	*
*	*	*	*	*	*
TOTAL PAYMENTS I-3	*	*	*	*	*
--AUTHORITY INTERGOVERNMENTAL LOANS--					
*	*	*	*	*	*
*	*	*	*	*	*
*	*	*	*	*	*
TOTAL PAYMENTS I-4	*	*	*	*	*
--AUTHORITY OBLIGATIONS (LIST)--					
*	*	*	*	*	*
*	*	*	*	*	*
*	*	*	*	*	*
TOTAL PAYMENTS I-5	*	*	*	*	*
TOTAL INTEREST					
DEBT PAYMENTS SS-6	* \$533,000	* \$497,000	* \$459,000	* \$438,000	* \$617,000

FY2011
AUTHORITY BUDGET
SUPPLEMENTAL SCHEDULES

UPPER WALLKILL
FACILITIES

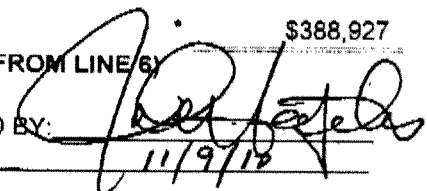
FISCAL YEAR: FROM DECEMBER 1, 2010 TO NOVEMBER 30, 2011

(1) PY UNRESTRICTED NET ASSETS	PY AUDIT	*	\$388,927 *
ADJUSTMENTS DURING CURRENT YEAR			
(a) EST. NET INCOME OR (LOSS) ON CURRENT YEAR'S RESULTS OF OPERATIONS (include unbudgeted use of unrestricted net assets)	*	*	
(b) ADJUSTMENTS:			
(2) SUBTOTAL - ADJUSTMENTS	(ADD AMOUNTS ON LINES a - b)		<u>\$388,927</u>

CURRENT YEAR ESTIMATED CHANGES IN RESTRICTIONS (attach documentation)		Additions/Reductions	
	*	*	
	*	*	
	*	*	
(3) SUBTOTAL - RESTRICTIONS	(ADD AMOUNTS ON LINES c - f)	*	<u>\$0</u>
DESIGNATIONS (attach documentation)			
(g) NON-OPERATING IMPROVEMENTS & REPAIRS (CB-4&5) *	*	*	
(h) CONTRIBUTION TO RATE STABILIZATION PLAN (#)	*	*	
(i) OTHER BOARD DESIGNATION	*	*	
(j) ADJUSTMENTS/OTHER (attach list): *	*	*	
(4) SUBTOTAL - DESIGNATIONS	(ADD AMOUNTS ON LINES g - i)	*	<u>\$0</u>
(5) TOTAL ESTIMATED CHANGES TO NET ASSETS (ADD LINES 2, 3 AND 4)		*	<u>*</u>
(6) NET ASSETS AVAILABLE FOR USE IN PROPOSED BUDGET (SUBTRACT LINE 5 FROM LINE 1)		*	<u>\$388,927 *</u>

PROPOSED USE OF AVAILABLE NET ASSETS			
(7) AS REVENUE IN ANNUAL BUDGET (PAGE 6, LINE R-3)	*	*	\$0 *
(8) FOR CURRENT YEAR CAPITAL BUDGET (PAGE CB-3)	*	*	\$0 *
(9) SUBTOTAL - NET ASSET USED	(ADD AMOUNTS ON LINES 7-8)		<u>\$0 *</u>
(10) MAXIMUM ALLOWABLE FOR APPROPRIATION TO MUNICIPALITY/COUNTY (Budget Item B-2 times 5%)	\$ _____		
(11) AS APPROPRIATED TO MUNICIPALITY/COUNTY (PAGE 6)		*	\$0 *
(12) TOTAL UNRESTRICTED/UNDESIGNATED NET ASSETS (SUBTRACT LINES 9 AND 11 FROM LINE 6)		*	<u>\$388,927</u>

(#) Explain in detail in the Budget Message

CERTIFIED BY: 
DATE: 11/9/10